DUPI

SMABTP Group

CORPORATE INFORMATION PACK



DUPI SMA

DUPI Underwriting Agencies B.V. (“DUA”) is one of the leading Dutch Managing General Agents (MGA) with underwriting authority of multiple insurers. Read more about our carriers on our **website.**

We offer tailored insurance solutions for various corporate risks within our eight business lines. Our business is exclusively conducted through brokers. DUA is specialized in Marine, P&I, CAR/ Engineering, Property, Casualty, Charterers Liability, EURO P&I and Shipping Defence. You can find more information about our business lines on our **website**.

DUA is a subsidiary of DUPI MGA Invest B.V., which is part of DUPI Group B.V. The Group's headquarters are in Rotterdam. DUPI Group B.V. also has offices in Amsterdam, Schiedam and Plovdiv.

DUA is often asked to complete “Know Your Customer” forms and tender documents requesting information about the company.

This Corporate Information Pack contains standard company information DUA is prepared to release. It includes information about our staff, management, business and finance.

It is imperative that personal data is not shared with anyone outside DUA without the written consent of the person(s) concerned.

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CORPORATE

1. FULL LEGAL NAME
DUPI Underwriting Agencies B.V.

2. TRADING NAMES
DUPI Underwriting Agencies B.V. also trades under the names listed below:

- DUPI Marine insurance
- DUPI Project P&I
- DUPI Casualty Insurance
- DUPI Assuradeuren Nederland
- DUPI Euro P&I
- DUPI Charterers Liability
- DUPI Engineering insurance
- DUPI Shipping Defence
- DMI
- Dutch Marine Insurance
- Dutch Shipping Defence
- Project P&I
- EuroP&I
- Charterersliability.com

3. HAS THE STATUTORY NAME CHANGED IN THE PAST THREE YEARS?
No

4. REGISTERED AND TRADING ADDRESS
Blaak 16, 6th floor
3011 TA Rotterdam
The Netherlands

P.O. Box 230, 3000 AE Rotterdam
The Netherlands

5. REGISTRATION NUMBER
Chamber of Commerce Rotterdam
number 24494411

6. DUNS NUMBER
48-98 2-2373

7. WEBSITE ADDRESS
www.dupi.com
dua.dupi.com

8. TELEPHONE NUMBER
+31 10 790 15 55

9. EMAIL CONTACT
compliance@dupi.com

10. STATUS OF COMPANY / PLACE OF INCORPORATION
Besloten Vennootschap
Private Limited Liability Company
The Netherlands

11. OWNERSHIP
DUA is owned 100% by DUPI MGA Invest B.V.
(which is 100% owned by DUPI Group B.V.)

12. SHAREHOLDINGS
Available on request via DUPI Group Compliance Officer

13. DATE OF INCORPORATION DUA
26th April 2010

14. STATUTORY DIRECTOR OF DUA

Directors	P.J. Kegel
Title	Chief Executive Officer

15. CAREER RESUME & CVS FOR SENIOR STAFF/DIRECTORS

DUA does not release CV's or other personal details of directors or employees. Please check our website for an individual's title and contact details.

16. REGULATORY STATUS

Authorised and regulated by the Dutch Financial Supervisory Authority in respect of activities as a MGA.
Autoriteit Financiële Markten [AFM]: **www.afm.nl**

17. AFM REFERENCE NUMBER

Registered under number 12041357.

18. DUA COMPLIES WITH AFM PROCEDURES FOR HANDLING PREMIUMS AND COMMISSIONS.

19. DUA CAN PASSPORT INTO THE FOLLOWING COUNTRIES UNDER EU FREEDOM OF SERVICES:

Austria	Finland	Latvia	Romania
Belgium	France	Lithuania	Slovenia
Bulgaria	Germany	Luxembourg	Slovakia
Cyprus	Greece	Malta	Spain
Czech Republic	Hungaria	Norway	Sweden
Denmark	Ireland	Poland	
Estonia	Italy	Portugal	

20. LLOYD'S COVERHOLDER PIN

112705 AXA

21. NUMBER OF STAFF EMPLOYED AT JANUARY 2026

137

22. REGISTRATION WITH THE DUTCH FINANCIAL SERVICES COMPLAINTS INSTITUTE

KiFiD (Klachtinstituut Financiële Dienstverlening) at www.kifid.nl
Registration number 300.015257

23. FATCA COMPLIANCE

FATCA status: nonqualified intermediary / active NFFE
W-8IMY form available on request via DUPI Group Compliance Officer.

PROFESSIONAL INDEMNITY COVER

24. PRIMARY INSURER'S NAME
Liberty Mutual Insurance: 50%
Allianz: 50%

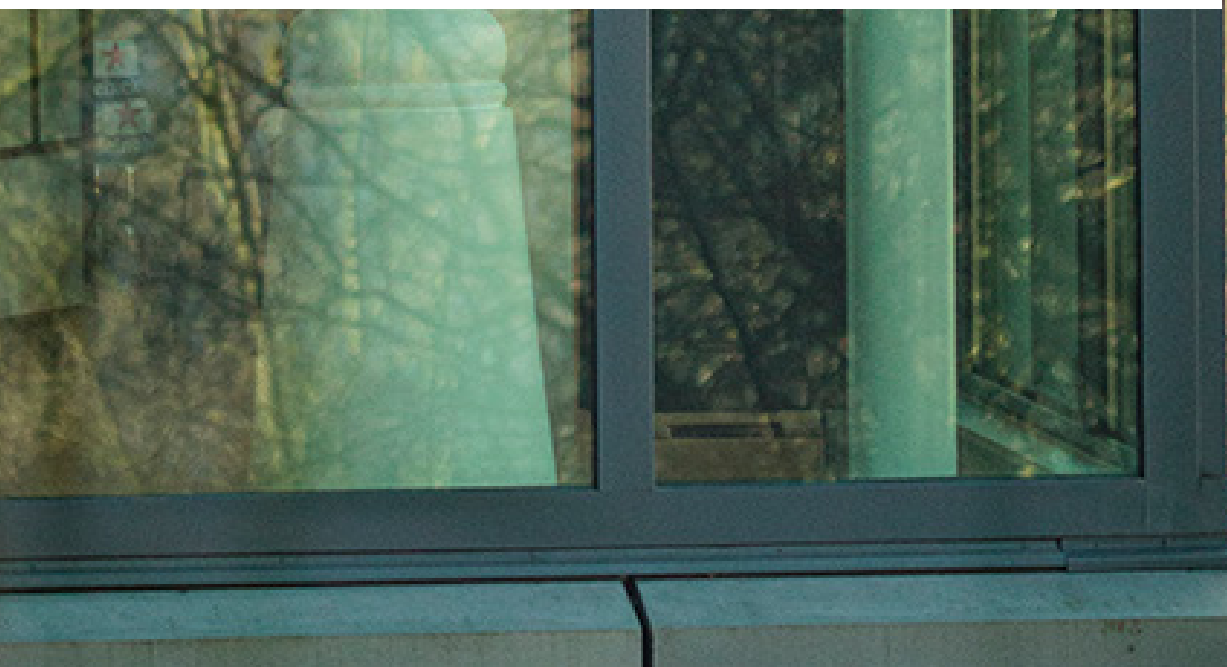
25. INSURANCE INCEPTION & EXPIRE DATE
Inception: 01-01-2026
Expiry: 31-12-2026

26. AGGREGATE LIMIT OF COVER
€ 10.000.000

27. LIMIT OF COVER FOR EACH AND EVERY CLAIM
€ 10.000.000

28. DEDUCTIBLE FOR EACH AND EVERY CLAIM
€ 100.000

29. DOES OUR PI COVER INCLUDE DISHONESTY OF EMPLOYEES?
Yes.



FINANCE

30. NAME OF DUA'S BANK
ABN AMRO Bank N.V. (Rotterdam Branch)

31. BANK ADDRESS
Coolsingel 93, 3012 AE Rotterdam, The Netherlands
P.O. Box 339, 3000 AH Rotterdam, The Netherlands

32. STATUS OF ACCOUNTS
Insurer and client monies are held in Non Statutory Client Bank Accounts.

33. ARE BANK ACCOUNTS INDEPENDENTLY AUDITED
Yes, on an annual basis.

34. BANK ACCOUNTS
Corporate account
Account name: DUPI Underwriting Agencies B.V.
IBAN:
EURO: NL41ABNA0240374649
SWIFT: ABNANL2A

Client monies accounts
Account name: St. Beheer Derdengelden DUPI Group
IBAN:
EURO: NL50 ABNA 0419 9926 85
USD: NL29 ABNA 0420 0177 98
GBP: NL20 ABNA 0458 7310 48
NOK: NL26 ABNA 0806 5816 70
CAD: NL12 ABNA 0104 6563 60
DKK: NL27 ABNA 0100 2494 77
SWIFT: ABNANL2A

35. SUBSIDIARIES
DUA has no subsidiaries that are not audited separately that transact business directly.

36. HANDLING CLIENT MONEY
All client monies are held in the Non Statutory Client Bank Accounts of the Stichting Beheer Derdengelden DUPI Group (DUPI Clients' Trust Fund) in order to secure that client monies and corporate monies are not co-mingled.

37. AUDITORS DETAILS
RSM Accountants and Tax Consultants
Jacques Dutilhweg 471a, 3065 GL Rotterdam, The Netherlands
Tel: +31 10 455 4100

38. VAT REGISTRATION NUMBER
NL 822407309 (B01)

39. DUA HAS PROCEDURES AND SYSTEMS IN PLACE TO MONITOR AGED AND OUTSTANDING DEBT.
As per DUPI Group policy and procedures.

ADMINISTRATIVE SYSTEMS

40. AGED DEBT PROCEDURE.

Our current aged debt procedure implies daily review; daily account reconciliation.

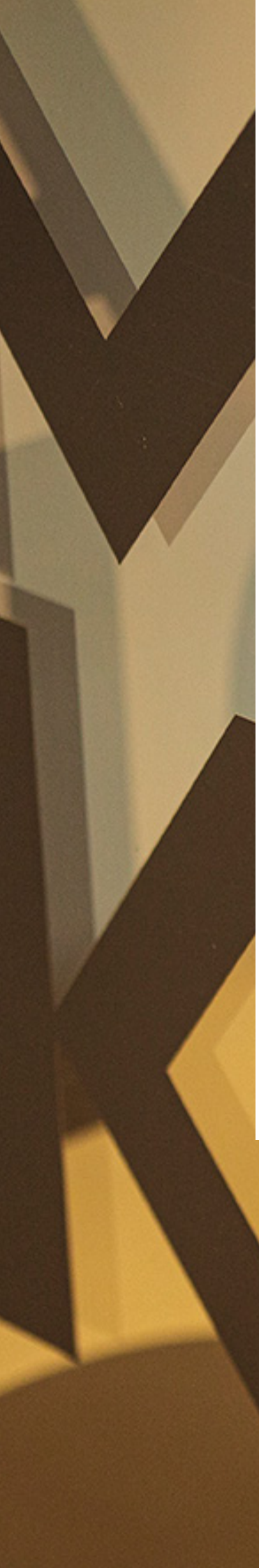
41. IT SYSTEMS

DUA maintains appropriate information systems and technological infrastructure to support its business operations, financial and administrative processes, data management, internal controls, and regulatory reporting obligations.

42. BUSINESS CONTINUITY

DUA has implemented business continuity measures designed to support the resilience of its operations. These measures include regular backup and secure off-site replication of critical business data, as well as secure remote access to essential systems for employees, enabling continued service delivery during periods of disruption.





TRADE BODIES/ OTHER REGULATORY

43. ARE WE A MEMBER OF ANY INSURANCE INDUSTRY TRADE ASSOCIATION OR PROFESSIONAL ORGANISATION?

Yes: VNAB (Vereniging voor Assurantie Beurs Makelaars)
www.vnab.nl

44. ARE WE IN COMPLIANCE WITH THE RELEVANT JURISDICTIONS' DATA PRIVACY REGULATION?

Yes.

45. DOES DUA HAS UP TO DATE WRITTEN POLICIES/PROCEDURES OUTLINING ITS SYSTEMS AND CONTROLS FOR FINANCIAL CRIME MITIGATION COVERING?

Yes.

1. Financial Crime Compliance Manual (including AML, International Sanctions,
   Anti Bribery and Corruption);
2. Fraud Protocol;
3. Sanction Regulations Procedures Protocol;
4. Code of Conduct.



DECLARATION

I confirm that to the best of my knowledge and belief the information provided in this document and any attachments hereto is correct and that I have taken reasonable steps to ensure that this is the case.

P.J. Kegel
Chief Executive Officer