BLAAK UNDERWRITING AGENCIES

CORPORATE INFORMATION PACK



BUA

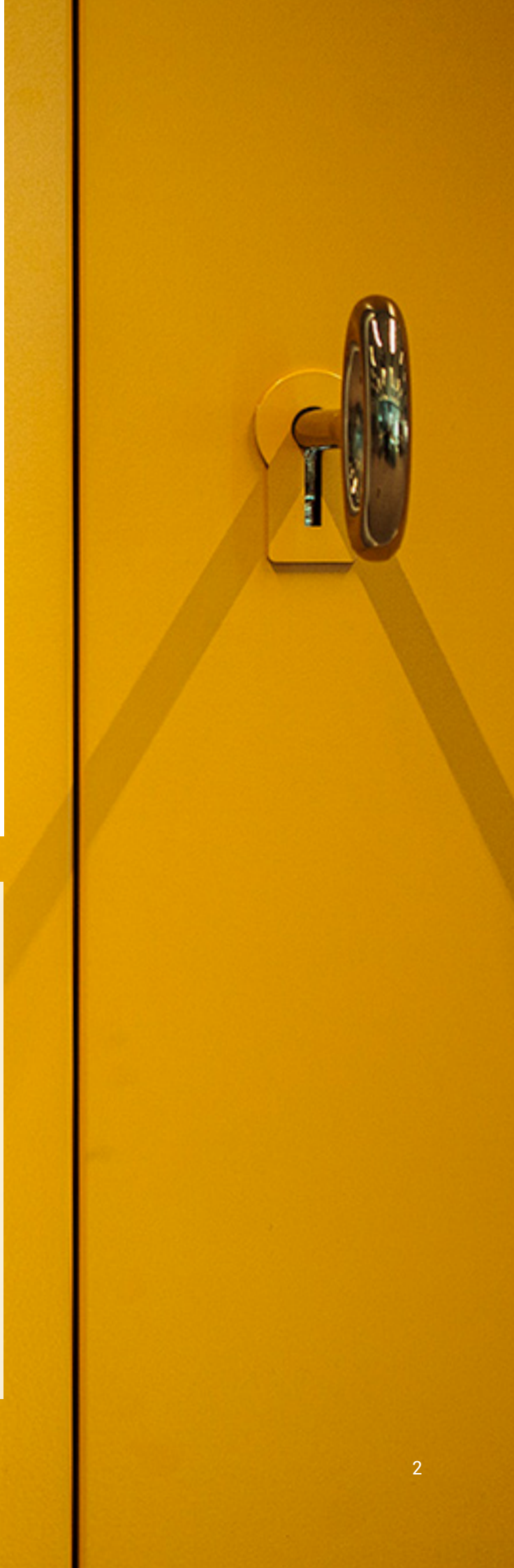
BLAAK Underwriting Agencies B.V. ("BUA") is a Dutch Managing General Agent (MGA) with underwriting authority of multiple insurers. Read more about our carriers on our website: **www.dupi.com/blaak-underwriting-agencies/**

We offer tailored insurance solutions for Marine Risks:

- Hull & Machinery
- Cargo
- Builder's Risks and Equipment

Read more about our products on our website: **www.dupi.com/blaak-underwriting-agencies/**

BUA is a subsidiary of DUPI MGA Invest B.V., which is part of DUPI Group B.V. The Group's headquarters are in Rotterdam. DUPI Group B.V. also has offices in Amsterdam, Schiedam and Plovdiv.



BUA is often asked to complete "Know Your Customer" forms and tender documents requesting information about the company.

This Corporate Information Pack contains standard company information BUA is prepared to release. It includes information about our staff, management, business and finance.

It is imperative that personal data is not shared with anyone outside BUA without the written consent of the person(s) concerned.

TABLE OF CONTENTS

Corporate	4
Professional Indemnity Cover	6
Finance	7
Administrative Systems	8
Trade Bodies / Other Regulatory	9
Declaration	10

CORPORATE

1. **FULL LEGAL NAME**
BLAAK Underwriting Agencies B.V.

2. **HAS THE STATUTORY NAME CHANGED IN THE PAST THREE YEARS?**
No.

3. **REGISTERED AND TRADING ADDRESS**
Blaak 16, 6th floor
3011 TA Rotterdam
The Netherlands

P.O. Box 230, 3000 AE Rotterdam, The Netherlands

4. **REGISTRATION NUMBER**
Chamber of Commerce Rotterdam number
61817945

5. **WEBSITE ADDRESS**
www.dupi.com/blaak-underwriting-agencies

6. **DUNS NUMMER**
49-149-5067

7. **TELEPHONE NUMBER**
+31 10 790 15 55

8. **EMAIL CONTACT**
compliance@dupi.com

9. **STATUS OF COMPANY / PLACE OF INCORPORATION**
Besloten Vennootschap
Private Limited Liability Company
The Netherlands

10. **OWNERSHIP**
BUA is owned 100% by DUPI MGA Invest B.V.
(which is 100% owned by DUPI Group B.V.)

11. **SHAREHOLDINGS**
Available on request via DUPI Group Compliance Officer

12. **DATE OF INCORPORATION BUA**
14-11-2014

13. **STATUTORY DIRECTOR OF BUA**

Directors	P.J. Kegel
Title	Chief Executive Officer



14. CAREER RESUME & CV'S FOR SENIOR STAFF/DIRECTORS

BUA does not release CV's or other personal details of directors or employees.
Please check our website for an individual's title and contact details.

15. REGULATORY STATUS

Authorised and regulated by the Dutch Financial Supervisory Authority
in respect of activities as a MGA.Autoriteit Financiële Markten [AFM] – www.afm.nl

16. AFM REFERENCE NUMBER

Registered under number 12042981.

17. HANDLING PREMIUMS AND COMMISSIONS

BUA complies with AFM procedures for handling premiums and commissions.

18. BUA CAN PASSPORT INTO THE FOLLOWING COUNTRIES

Austria	France	Italy	Poland
Belgium	Germany	Liechtenstein	Romania
Cyprus	Greece	Luxemburg	Spain
Denmark	Ireland	Malta	

19. LLOYD'S COVERHOLDER PIN

113885 IFF

20. NUMBER OF STAFF EMPLOYED AT JANUARY 2027

1

21. REGISTRATION WITH THE DUTCH FINANCIAL SERVICES COMPLAINTS INSTITUTE

KiFiD (Klachtinstituut Financiële Dienstverlening) at **www.kifid.nl**
Registration number 300.016035

22. FATCA COMPLIANCE

FATCA status: nonqualified intermediary / active NFFE
W-8IMY form available on request via DUPI Group Compliance Officer

PROFESSIONAL INDEMNITY COVER

23. PRIMARY INSURER'S NAME
Liberty Mutual Insurance: 50%
Allianz: 50%

24. INSURANCE INCEPTION & EXPIRE DATE
Inception: 01-01-2026
Expiry: 31-12-2026

25. AGGREGATE LIMIT OF COVER
€ 10.000.000

26. LIMIT OF COVER FOR EACH AND EVERY CLAIM
€ 10.000.000

27. DEDUCTIBLE FOR EACH AND EVERY CLAIM
€ 100.000

28. DOES OUR PI COVER INCLUDE DISHONESTY OF EMPLOYEES?
Yes.





FINANCE

29. NAME OF BUA'S BANK
ABN AMRO Bank N.V. (Rotterdam Branch)

30. BANK ADDRESS
Coolsingel 93, 3012 AE Rotterdam, The Netherlands
P.O. Box 339, 3000 AH Rotterdam, The Netherlands

31. STATUS OF ACCOUNTS
BUA is in the process of setting up Non Statutory Bank Accounts for the handling of Insurer and client monies within Stichting Beheer Derdengelden DUPI Group (DUPI's Clients' Trust Fund). At present insurer, client and agency monies are still co-mingled.

32. ARE BANK ACCOUNTS INDEPENDENTLY AUDITED
Yes, on an annual basis.

33. BANK ACCOUNTS
Account name: BLAAK Underwriting Agencies B.V.
IBAN : NL30 ABNA 0504 0457 76

34. SUBSIDIARIES
BUA has no subsidiaries that are not audited separately that transact business directly.

35. HANDLING CLIENT MONEY
In order to secure that client monies and corporate monies are not co-mingled going forward, BUA is setting up Non Statutory Client Bank Accounts within Stichting Beheer Derdengelden DUPI Group (DUPI Clients' Trust Fund).

36. AUDITORS DETAILS
RSM Accountants and Tax Consultants
Jacques Dutilhweg 471a, 3065 GL Rotterdam, The Netherlands
Tel: +31 10 455 4100

37. VAT REGISTRATION NUMBER
NL 854501939 (B01)

38. BUA HAS PROCEDURES AND SYSTEMS IN PLACE TO MONITOR AGED AND OUTSTANDING DEBT.
As per DUPI Group policy and procedures.

39. WHAT IS THE CURRENT AGED DEBT PROCEDURE?
Our current aged debt procedure implies daily review; daily account reconciliation.

ADMINISTRATIVE SYSTEMS

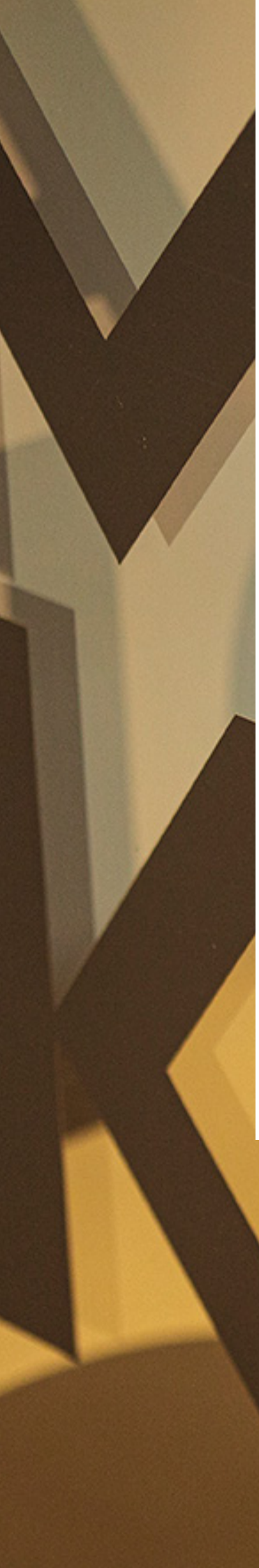
40. IT SYSTEMS

BUA maintains appropriate information systems and technological infrastructure to support its business operations, financial and administrative processes, data management, internal controls, and regulatory reporting obligations.

41. BUSINESS CONTINUITY

BUA has implemented business continuity measures designed to support the resilience of its operations. These measures include regular backup and secure off-site replication of critical business data, as well as secure remote access to essential systems for employees, enabling continued service delivery during periods of disruption.





TRADE BODIES/ OTHER REGULATORY

42. ARE WE A MEMBER OF ANY INSURANCE INDUSTRY TRADE ASSOCIATION OR PROFESSIONAL ORGANIZATION?

Yes: VNAB (Vereniging voor Assurantie Beurs Makelaars)
www.vnab.nl

43. ARE WE IN COMPLIANCE WITH THE RELEVANT JURISDICTIONS' DATA PRIVACY REGULATION?

Yes.

44. DOES BUA HAS UP TO DATE WRITTEN POLICIES/PROCEDURES OUTLINING ITS SYSTEMS AND CONTROLS FOR FINANCIAL CRIME MITIGATION COVERING?

Yes.

1. Financial Crime Compliance Manual (including AML, International Sanctions,
   Anti Bribery and Corruption);
2. Fraud Protocol;
3. Sanction Regulations Procedures Protocol;
4. Code of Conduct.



DECLARATION

I confirm that to the best of my knowledge and belief the information provided in this document and any attachments hereto is correct and that I have taken reasonable steps to ensure that this is the case.

P.J. Kegel
Chief Executive Officer