

■ ■ ■ DUPI  
■ ■ ■ UNDERWRITING  
■ ■ ■ AGENCIES NORDICS

# CORPORATE INFORMATION PACK



# D U A

# N O R D I C S

DUPI Underwriting Agencies Nordics A/S (“DUA Nordics”) is a Danish Managing General Agent (MGA). As an underwriting agency, we provide tailored insurance solutions through an intelligent, risk-based underwriting approach on behalf of our coverholders Baloise and Lloyd’s. Read more about our carriers on our [website](#).

DUA Nordics focuses on comprehensive marine insurances with a technical insurance offering and risk engineering services. We are specialised in traditional marine coverages, financial insurances, power barges insurance solutions and special risk covers.

Furthermore DUA Nordics offers insurance solutions for CAR/Engineering and Renewable Energy risks.

DUA Nordics is a subdivision of DUPI MGA Invest B.V., which is part of DUPI GROUP B.V. The Group’s headquarter is in Rotterdam, and also has offices in Amsterdam, Schiedam, Copenhagen and Plovdiv.

As part of DUPI group, DUA Nordics works closely with DUPI’s underwriting specialists and claims professionals in the Netherlands. We are also able to offer our brokers complementary insurance solutions for Charterers’ Liability and P&I.

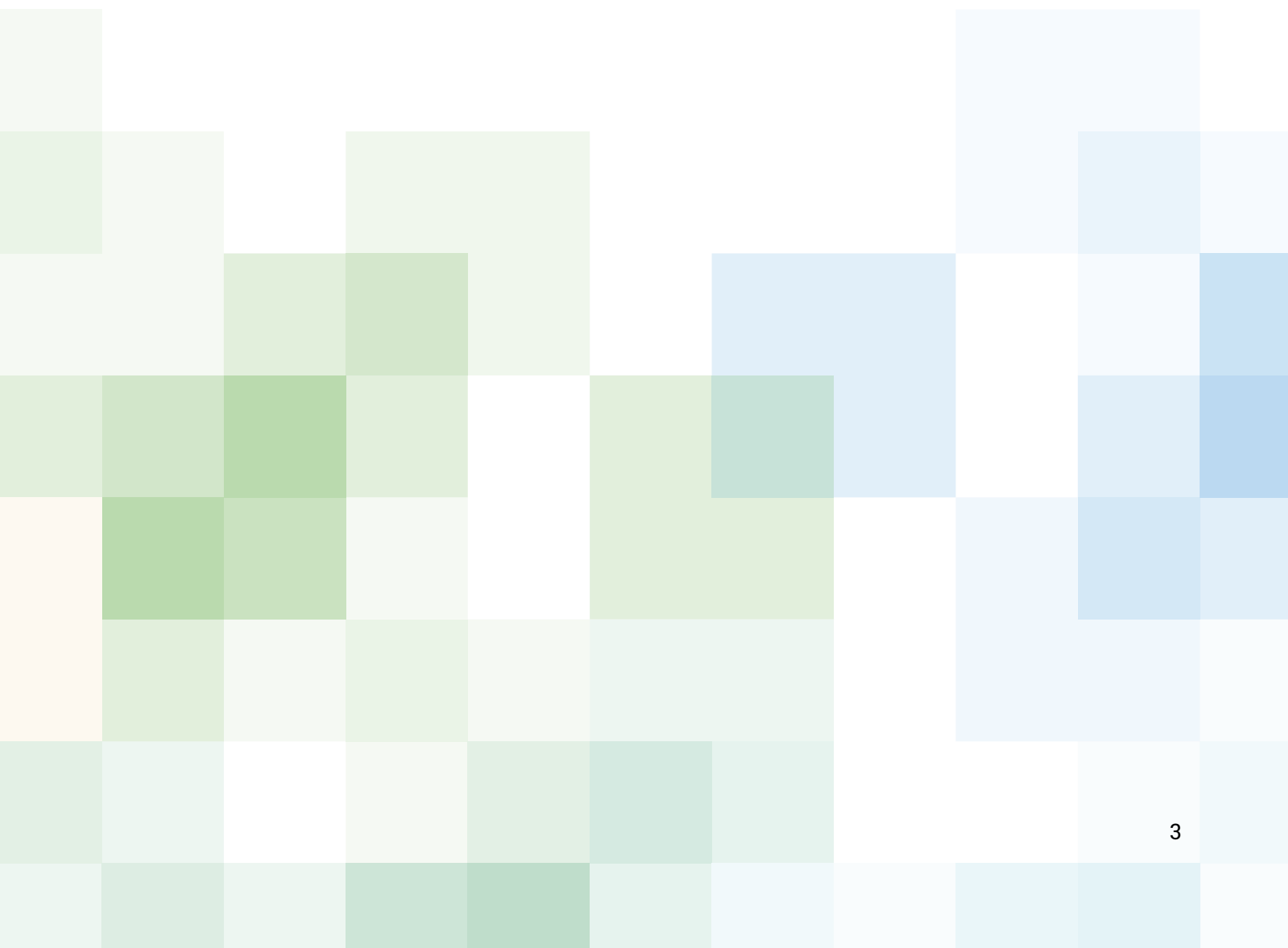
DUA Nordics is often asked to complete “Know Your Customer” forms and tender documents requesting information about the company.

This Corporate Information Pack contains standard company information that DUA Nordics is prepared to release. It includes information about our staff, management, business and finance.

It is imperative that personal data is not shared with anyone outside DUA Nordics without the written consent of the person(s) concerned.

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# C O R P O R A T E

**1. FULL LEGAL NAME**

DUPI Underwriting Agencies Nordics A/S.

**2. TRADING NAMES**

DUPI Underwriting Agencies Nordics A/S also trades under the name listed below:

- DUA Nordics

**3. HAS THE STATUTORY NAME CHANGED IN THE PAST THREE YEARS?**

Yes. From Orca Insurance Agency A/S per 23-12-2020

**4. REGISTERED AND TRADING ADDRESS**

Bredgade 65, 2nd floor  
1260 København K  
Denmark

**5. REGISTRATION NUMBER**

CVR-no (DK): 39005158

**6. DUNS NUMBER**

305894397

**7. WEBSITE ADDRESS**

[nordics.dupi.com](http://nordics.dupi.com)

**8. TELEPHONE NUMBER**

+45 35 25 03 60

**9. EMAIL CONTACT**

[infonordic@dupi.com](mailto:infonordic@dupi.com)

**10. STATUS OF COMPANY / PLACE OF INCORPORATION**

Aktieselskab (A/S)  
Private Limited Liability Company/ Denmark

**11. OWNERSHIP**

DUA Nordics is owned 65% by  
DUPI MGA Invest B.V.  
(which is 100% owned by DUPI Group B.V.)  
Further shareholders:  
NAVIS ApS: 17.5%  
LCLH Invest ApS: 17.5%

**12. SHAREHOLDINGS/UBO**

Available on request via  
DUPI Group Compliance Officer

**13. DATE OF INCORPORATION  
DUA NORDICS**

10 October 2017

**14. STATUTORY DIRECTOR  
OF DUA**

Directors	Lars Hendriksen
Title	Managing Director

**15. CAREER RESUME & CVS FOR SENIOR STAFF/DIRECTORS**

DUA Nordics does not release CV's or other personal details of directors or employees.  
Please check our website for an individual's title and contact details.

**16. REGULATORY STATUS**

Authorised and regulated by the Danish Financial Supervisory Authority in respect of activities as an MGA.  
Finanstilsynet: [www.finanstilsynet.dk](http://www.finanstilsynet.dk)

**17. FSA REFERENCE NUMBER**

Registered under number 36307.

**18. FSA PROCEDURES**

DUA Nordics complies with FSA procedures for handling premiums and commissions.

**19. DUA NORDICS CAN PASSPORT INTO THE FOLLOWING COUNTRIES UNDER EU FREEDOM OF SERVICES:**

Cyprus	Germany	Italy	Poland
Finland	Greece	Netherlands	Spain
France	Iceland	Norway	Sweden

**20. LLOYD'S COVERHOLDER PIN**

114901 EWJ

**21. NUMBER OF STAFF EMPLOYED AT JANUARY 2022**

6

**22. HANDLING COMPLAINTS**

For Complaints related to Commercial Insurance, complaints are to be sent to DUA Nordics.

DUA Nordics will handle incoming complaints.

Mail to: [infonordic@dupi.com](mailto:infonordic@dupi.com)

**23. FATCA COMPLIANCE**

FATCA status: nonqualified intermediary / active NFFE

W-8IMY form available on request via DUPI Group Compliance Officer



# PROFESSIONAL INDEMNITY COVER LAYER

**24. PRIMARY INSURER'S NAME**

Liberty Mutual Insurance: 50%

Allianz: 50%

**25. INSURANCE INCEPTION & EXPIRE DATE**

Inception: 01-01-2023

Expiry: 01-01-2024

**26. AGGREGATE LIMIT OF COVER**

€ 10.000.000

**27. LIMIT OF COVER FOR EACH AND EVERY CLAIM**

€ 10.000.000

**28. DEDUCTIBLE FOR EACH AND EVERY CLAIM**

€ 100.000

**29. DOES OUR PI COVER INCLUDE DISHONESTY OF EMPLOYEES?**

Yes.

# FINANCE

**30. NAME OF DUA NORDICS BANK**

ABN AMRO Bank N.V. (Rotterdam Branch)

**31. BANK ADDRESS**

Coolsingel 93, 3012 AE Rotterdam, The Netherlands  
P.O. Box 339, 3000 AH Rotterdam, The Netherlands

**32. STATUS OF ACCOUNTS**

Insurer and client monies are held in Non Statutory Client Bank Accounts.

**33. ARE BANK ACCOUNTS INDEPENDENTLY AUDITED**

Yes, on an annual basis.

**34. BANK ACCOUNTS**

Account name: St. Beheer Derdengelden DUPI Group  
IBAN:

EURO: NL50 ABNA 0419 9926 85

USD: NL29 ABNA 0420 0177 98

GBP: NL20 ABNA 0458 7310 48

NOK: NL26 ABNA 0806 5816 70

CAD: NL12 ABNA 0104 6563 60

DKK: NL27 ABNA 0100 2494 77

SWIFT: ABNANL2A

**35. SUBSIDIARIES**

DUA Nordics has no subsidiaries that are not audited separately that transact business directly.

**36. HANDLING CLIENT MONEY**

All client monies are held in the Non Statutory Client Bank Accounts of the Stichting Beheer Derdengelden DUPI Group [DUPI Clients' Trust Fund] in order to secure that client monies and corporate monies are not co-mingled.

**37. AUDITORS DETAILS**

Syddansk Revision  
Næsset 26  
5330 Munkebo  
Denmark

**38. VAT REGISTRATION NUMBER**

DUPI Underwriting Nordics A/S: DK 39005158

**39. DUA NORDICS HAS PROCEDURES AND SYSTEMS IN PLACE TO MONITOR AGED AND OUTSTANDING DEBT.**

As per DUPI Group policy and procedures.

**40. AGED DEBT PROCEDURE**

Our current aged debt procedure implies daily review; daily account reconciliation.

# ADMINISTRATIVE SYSTEMS

## 41. IT SYSTEMS

1. UNIT4 Multivers (financial administration);
2. Navigator (custom made policy- and claims administration system developed by DUPI Groups' inhouse software company FrameStory Software B.V.);
3. Citrix (in combination with Windows Terminal Server);
4. E-economics (financial administration);
5. Acubiz (cost administration system)

## 42. BUSINESS CONTINUITY

DUA Nordics' system backups consist of (business) daily backups. Each backup is stored on a central backup system, and subsequently replicated to an off-site location. All DUA Nordics staff have secured remote access to the office systems.





# TRADE BODIES / REGULATORY

**43. ARE WE A MEMBER OF ANY INSURANCE INDUSTRY TRADE ASSOCIATION OR PROFESSIONAL ORGANIZATION?**

No.

**44. ARE WE IN COMPLIANCE WITH THE RELEVANT JURISDICTIONS' DATA PRIVACY REGULATION?**

Yes.

**45. DOES DUA NORDICS HAVE UP TO DATE WRITTEN POLICIES/ PROCEDURES OUTLINING ITS SYSTEMS AND CONTROLS FOR FINANCIAL CRIME MITIGATION COVERING?**

Yes.

1. Financial Crime Compliance Manual (including AML, International Sanctions, Anti Bribery and Corruption);
2. Fraud Protocol;
3. Sanction Regulations Procedures Protocol;
4. Code of Conduct.



# DECLARATION

I confirm that to the best of my knowledge and belief the information provided in this document and any attachments hereto is correct and that I have taken reasonable steps to ensure that this is the case.

Lars Hendriksen  
Managing Director