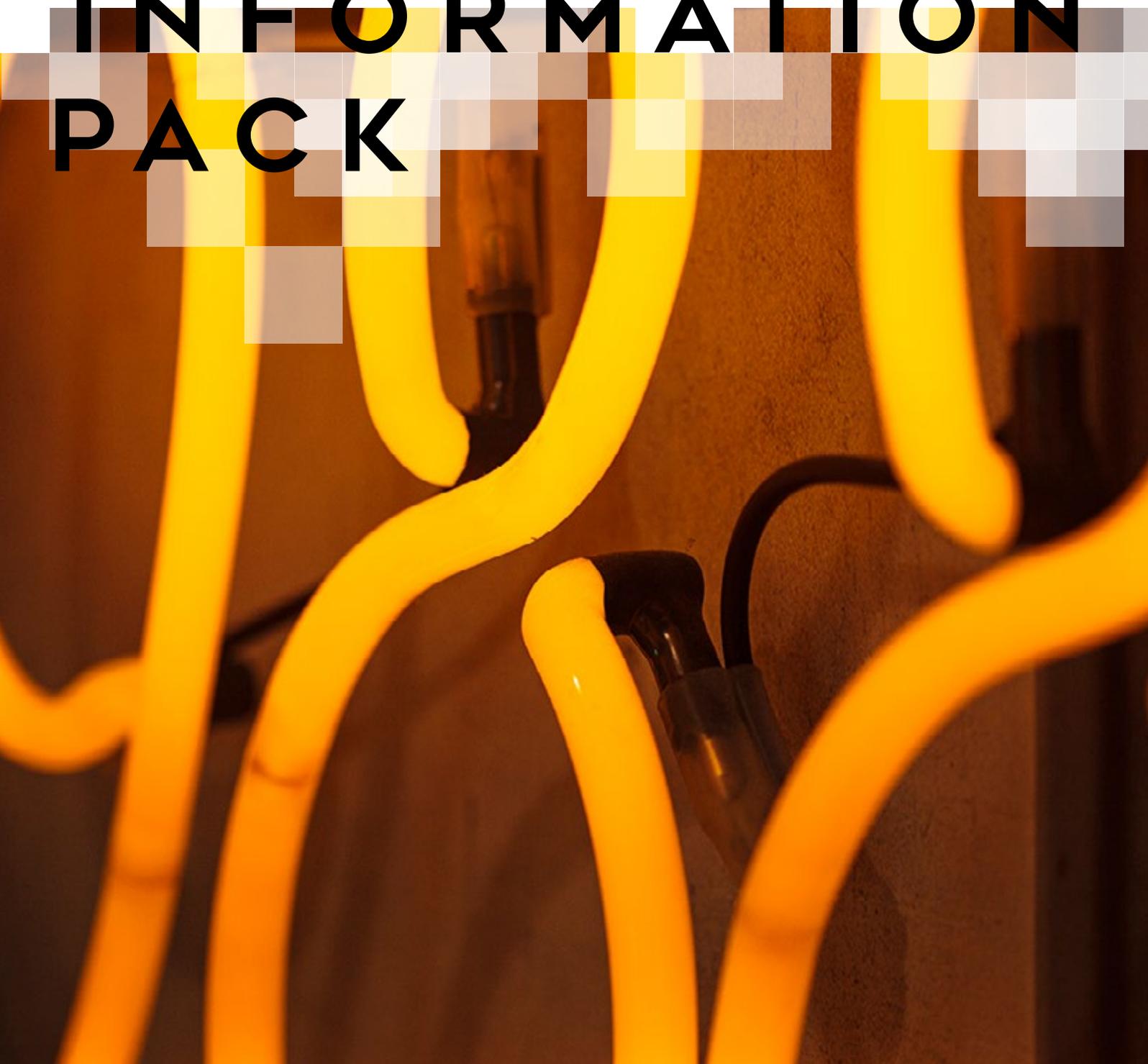




BLAAK
UNDERWRITING
AGENCIES

CORPORATE INFORMATION PACK



BUA

BLAAK Underwriting Agencies B.V. ("BUA") is a Dutch Managing General Agent (MGA) with underwriting authority of multiple insurers. Read more about our carriers on our website: www.blaak.dupi.com/security

We offer tailored insurance solutions for Marine Risks:

- Hull & Machinery
- Cargo
- Builder's Risks and Equipment

Read more about our products on our website: www.blaak.dupi.com

BUA is a subdivision of DUPI MGA Invest B.V., which is part of DUPI GROUP B.V. The Group's headquarters are in Rotterdam. DUPI Group B.V. also has offices in Amsterdam, Schiedam, Copenhagen and Plovdiv.

BUA is often asked to complete "Know Your Customer" forms and tender documents requesting information about the company.

This Corporate Information Pack contains standard company information BUA is prepared to release. It includes information about our staff, management, business and finance.

It is imperative that personal data is not shared with anyone outside BUA without the written consent of the person(s) concerned.

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C O R P O R A T E

1. FULL LEGAL NAME

BLAAK Underwriting Agencies B.V.

2. HAS THE STATUTORY NAME CHANGED IN THE PAST THREE YEARS?

Yes. From DUAL Netherlands B.V. per 25-07-2019.

3. REGISTERED AND TRADING ADDRESS

Blaak 16, 6th floor
3011 TA Rotterdam
The Netherlands

P.O. Box 230, 3000 AE Rotterdam, The Netherlands

4. REGISTRATION NUMBER
Chamber of Commerce Rotterdam number
61817945

5. WEBSITE ADDRESS
blaak.dupi.com

6. DUNS NUMBER
491495067

7. TELEPHONE NUMBER
+31 10 790 15 55

8. EMAIL CONTACT
compliance@dupi.com

9. STATUS OF COMPANY / PLACE OF INCORPORATION
Besloten Vennootschap
Private Limited Liability Company
The Netherlands

10. OWNERSHIP
BUA is owned 100% by DUPI MGA Invest B.V.
(which is 100% owned by DUPI Group B.V.)

11. SHAREHOLDINGS
Available on request via DUPI Group
Compliance Officer

12. DATE OF INCORPORATION BUA
14-11-2014

13. STATUTORY DIRECTOR OF BUA
Directors A.J.E. Cornel
Title Managing Director

14. CAREER RESUME & CV'S FOR SENIOR STAFF/DIRECTORS

BUA does not release CV's or other personal details of directors or employees.
Please check our website for an individual's title and contact details.

15. REGULATORY STATUS

Authorised and regulated by the Dutch Financial Supervisory Authority
in respect of activities as a MGA. Autoriteit Financiële Markten [AFM] – www.afm.nl

16. AFM REFERENCE NUMBER

Registered under number 12042981.

17. HANDLING PREMIUMS AND COMMISSIONS

BUA complies with AFM procedures for handling premiums and commissions.

18. BUA CAN PASSPORT INTO THE FOLLOWING COUNTRIES UNDER EU FREEDOM OF SERVICES

Austria	France	Italy	Poland
Belgium	Germany	Liechtenstein	Romania
Cyprus	Greece	Luxemburg	Spain
Denmark	Ireland	Malta	

19. LLOYD'S COVERHOLDER PIN

113885 IFF

20. NUMBER OF STAFF EMPLOYED AT JANUARY 2022

3 FTE

21. REGISTRATION WITH THE DUTCH FINANCIAL SERVICES COMPLAINTS INSTITUTE

KiFiD (Klachtinstituut Financiële Dienstverlening) at www.kifid.nl
Registration number 300.016035

22. FATCA COMPLIANCE

FATCA status: nonqualified intermediary / active NFFE
W-8IMY form available on request via DUPI Group Compliance Officer

PROFESSIONAL INDEMNITY COVER

23. PRIMARY INSURER'S NAME

Liberty Mutual Insurance: 50%

Allianz: 50%

24. INSURANCE INCEPTION & EXPIRE DATE

Inception: 01-01-2023

Expiry: 01-01-2024

25. AGGREGATE LIMIT OF COVER

€ 10.000.000

**26. LIMIT OF COVER FOR EACH
AND EVERY CLAIM**

€ 10.000.000

27. DEDUCTIBLE FOR EACH AND EVERY CLAIM

€ 100.000

**28. DOES OUR PI COVER INCLUDE
DISHONESTY OF EMPLOYEES?**

Yes.

FINANCE

29. NAME OF BUA'S BANK

ABN AMRO Bank N.V. (Rotterdam Branch)

30. BANK ADDRESS

Coolsingel 93, 3012 AE Rotterdam, The Netherlands
P.O. Box 339, 3000 AH Rotterdam, The Netherlands

31. STATUS OF ACCOUNTS

BUA is in the process of setting up Non Statutory Bank Accounts for the handling of Insurer and client monies within Stichting Beheer Derdengelden DUPI Group (DUPI's Clients' Trust Fund). At present insurer, client and agency monies are still co-mingled.

32. ARE BANK ACCOUNTS INDEPENDENTLY AUDITED

Yes, on an annual basis.

33. BANK ACCOUNTS

Account name: St. Beheer Derdengelden DUPI Group

IBAN:

EURO : NL53 ABNA 0504 0457 76

USD : NL17 ABNA 0829 5999 32

GBP : NL96 ABNA 0829 6001 59

CAD : NL18 ABNA 0829 8828 63

SWIFT : ABNANL2A

34. SUBSIDIARIES

BUA has no subsidiaries that are not audited separately that transact business directly.

35. HANDLING CLIENT MONEY

In order to secure that client monies and corporate monies are not co-mingled going forward, BUA is setting up Non Statutory Client Bank Accounts within Stichting Beheer Derdengelden DUPI Group (DUPI Clients' Trust Fund).

36. AUDITORS DETAILS

RSM Accountants and Tax Consultants

Jacques Dutilhweg 471a, 3065 GL Rotterdam, The Netherlands

Tel: +31 10 455 4100

37. VAT REGISTRATION NUMBER

NL 854501939 (B01)

38. BUA HAS PROCEDURES AND SYSTEMS IN PLACE TO MONITOR AGED AND OUTSTANDING DEBT.

As per DUPI Group policy and procedures.

39. WHAT IS THE CURRENT AGED DEBT PROCEDURE?

Our current aged debt procedure implies daily review; daily account reconciliation.

ADMINISTRATIVE SYSTEMS

40. IT SYSTEMS

1. UNIT4 Multivers (financial administration);
2. Navigator (custom made policy- and claims administration system developed by DUPI Groups' inhouse software company FrameStory Software B.V.);
3. Citrix (in combination with Windows Terminal Server);

41. BUSINESS CONTINUITY

BUAs system backups consist of (business) daily backups. Each backup is stored on a central backup system, and subsequently replicated to an off-site location. All BUA staff have secured remote access to the office systems.



TRADE BODIES / REGULATORY

42. ARE WE A MEMBER OF ANY INSURANCE INDUSTRY TRADE ASSOCIATION OR PROFESSIONAL ORGANIZATION?

Yes: VNAB (Vereniging voor Assurantie Beurs Makelaars)
www.vnab.nl

43. ARE WE IN COMPLIANCE WITH THE RELEVANT JURISDICTIONS' DATA PRIVACY REGULATION?

Yes.

44. DOES BUA HAS UP TO DATE WRITTEN POLICIES/PROCEDURES OUTLINING ITS SYSTEMS AND CONTROLS FOR FINANCIAL CRIME MITIGATION COVERING?

Yes.

1. Financial Crime Compliance Manual (including AML, International Sanctions, Anti Bribery and Corruption);
2. Fraud Protocol;
3. Sanction Regulations Procedures Protocol;
4. Code of Conduct.



DECLARATION

I confirm that to the best of my knowledge and belief the information provided in this document and any attachments hereto is correct and that I have taken reasonable steps to ensure that this is the case.

A.J.E. Cornel
Managing Director