

DUPI
UNDERWRITING
AGENCIES

CORPORATE INFORMATION PACK



D U A

DUPI Underwriting Agencies B.V. ("DUA") is one of the leading Dutch Managing General Agents (MGA) with underwriting authority of multiple insurers. Read more about our carriers on our [website](#).

We offer tailored insurance solutions for various corporate risks within our seven business lines. Our business is exclusively conducted through brokers. DUA is specialized in Marine, P&I, CAR/ Engineering, Property, Casualty, Charterers Liability, EURO P&I and Shipping Defence. You can find more information about our business lines on our [website](#).

DUA is a subdivision of DUPI MGA Invest B.V., which is part of DUPI GROUP B.V. The Group's headquarters are in Rotterdam. DUPI Group B.V. also has offices in Amsterdam, Copenhagen and Paris.

DUA is often asked to complete "Know Your Customer" forms and tender documents requesting information about the company.

This Corporate Information Pack contains standard company information DUA is prepared to release. It includes information about our staff, management, business and finance.

It is imperative that personal data is not shared with anyone outside DUA without the written consent of the person(s) concerned.

TABLE OF CONTENTS

Corporate	4
Professional Indemnity Cover	6
Finance	7
Administrative Systems	8
Trade Bodies / Other Regulatory	9

C O R P O R A T E

1. FULL LEGAL NAME

DUPI Underwriting Agencies B.V.

2. TRADING NAMES

DUPI Underwriting Agencies B.V. also trades under the names listed below:

- DUPI Marine insurance
- DUPI Engineering insurance
- DUPI Casualty Insurance
- DUPI Assuradeuren Nederland
- DUPI Euro P&I
- DUPI Charterers Liability
- DUPI Shipping Defence
- DUPI Project P&I
- DMI
- Dutch Marine Insurance
- Dutch Shipping Defence Project P&I
- EuroP&I
- Charterersliability.com

3. HAS THE STATUTORY NAME CHANGED IN THE PAST THREE YEARS?

No

4. REGISTERED AND TRADING ADDRESS

Blaak 16, 6th floor
3011 TA Rotterdam
The Netherlands

P.O. Box 230, 3000 AE Rotterdam
The Netherlands

5. REGISTRATION NUMBER

Chamber of Commerce Rotterdam
number 24494411

6. DUNS NUMBER

489822373

7. WEBSITE ADDRESS

www.dupi.com
dua.dupi.com

8. TELEPHONE NUMBER

+31 10 790 15 55

9. EMAIL CONTACT

compliance@dupi.com

10. STATUS OF COMPANY / PLACE OF INCORPORATION

Besloten Vennootschap
Private Limited Liability Company
The Netherlands

11. OWNERSHIP

DUA is owned 100% by DUPI MGA Invest B.V.
(which is 100% owned by DUPI Group B.V.)

12. SHAREHOLDINGS

Available on request via DUPI Group
Compliance Officer

13. DATE OF INCORPORATION DUA

26th April 2010

14. STATUTORY DIRECTOR OF DUA

Directors	A.J.E. Cornel
Title	Managing Director

15. CAREER RESUME & CVs FOR SENIOR STAFF/DIRECTORS
DUA does not release CV's or other personal details of directors or employees. Please check our website for an individual's title and contact details.

16. REGULATORY STATUS
Authorised and regulated by the Dutch Financial Supervisory Authority in respect of activities as a MGA. Autoriteit Financiële Markten [AFM]: www.afm.nl

17. AFM REFERENCE NUMBER
Registered under number 12041357.

18. DUA COMPLIES WITH AFM PROCEDURES FOR HANDLING PREMIUMS AND COMMISSIONS.

19. DUA CAN PASSPORT INTO THE FOLLOWING COUNTRIES UNDER EU FREEDOM OF SERVICES:

Austria	Finland	Latvia	Romania
Belgium	France	Lithuania	Slovenia
Bulgaria	Germany	Luxembourg	Slovakia
Cyprus	Greece	Malta	Spain
Czech Republic	Hungaria	Norway	Sweden
Denmark	Ireland	Poland	
Estonia	Italy	Portugal	

20. LLOYD'S COVERHOLDER PIN
112705 AXA

21. NUMBER OF STAFF EMPLOYED AT JANUARY 2022
83

22. REGISTRATION WITH THE DUTCH FINANCIAL SERVICES COMPLAINTS INSTITUTE
KiFiD (Klachtinstituut Financiële Dienstverlening) at www.kifid.nl
Registration number 300.015257

23. FATCA COMPLIANCE
FATCA status: nonqualified intermediary / active NFFE
W-8IMY form available on request via DUPI Group Compliance Officer.

PROFESSIONAL INDEMNITY COVER

24. PRIMARY INSURER'S NAME

Liberty Mutual Insurance: 50%

Allianz: 50%

25. INSURANCE INCEPTION & EXPIRE DATE

Inception: 01-01-2022

Expiry: 31-12-2022

26. AGGREGATE LIMIT OF COVER

€ 10.000.000

27. LIMIT OF COVER FOR EACH AND EVERY CLAIM

€ 10.000.000

28. DEDUCTIBLE FOR EACH AND EVERY CLAIM

€ 100.000

29. DOES OUR PI COVER INCLUDE DISHONESTY OF EMPLOYEES?

Yes.

FINANCE

30. NAME OF DUA'S BANK

ABN AMRO Bank N.V. (Rotterdam Branch)

31. BANK ADDRESS

Coolsingel 93, 3012 AE Rotterdam, The Netherlands
P.O. Box 339, 3000 AH Rotterdam, The Netherlands

32. STATUS OF ACCOUNTS

Insurer and client monies are held in Non Statutory Client Bank Accounts.

**33. ARE BANK ACCOUNTS
INDEPENDENTLY AUDITED**

Yes, on an annual basis.

34. BANK ACCOUNTS

Account name: St. Beheer Derdengelden DUPI Group

IBAN:

EURO: NL50 ABNA 0419 9926 85

USD: NL29 ABNA 0420 0177 98

GBP: NL20 ABNA 0458 7310 48

NOK: NL26 ABNA 0806 5816 70

CAD: NL12 ABNA 0104 6563 60

DKK: NL27 ABNA 0100 2494 77

SWIFT: ABNANL2A

35. SUBSIDIARIES

DUA has no subsidiaries that are not audited separately that transact business directly.

36. HANDLING CLIENT MONEY

All client monies are held in the Non Statutory Client Bank Accounts of the Stichting Beheer Derdengelden DUPI Group (DUPI Clients' Trust Fund) in order to secure that client monies and corporate monies are not co-mingled.

37. AUDITORS DETAILS

RSM Accountants and Tax Consultants

Jacques Dutilhweg 471a, 3065 GL Rotterdam, The Netherlands

Tel: +31 10 455 4100

38. VAT REGISTRATION NUMBER

NL 822407309 (B01)

**39. DUA HAS PROCEDURES AND SYSTEMS
IN PLACE TO MONITOR AGED AND
OUTSTANDING DEBT.**

As per DUPI Group policy and procedures.

ADMINISTRATIVE SYSTEMS

40. AGED DEBT PROCEDURE.

Our current aged debt procedure implies daily review; daily account reconciliation.

41. IT SYSTEMS

1. UNIT4 Multivers (financial administration);
2. Navigator (custom made policy- and claims administration system developed by DUPI Groups' inhouse software company FrameStory Software B.V.);
3. Citrix (in combination with Windows Terminal Server).

42. BUSINESS CONTINUITY

DUA's system backups consist of (business) daily backups. Each backup is stored on a central backup system, and subsequently replicated to an off-site location. All DUA staff have secured remote access to the office systems.



TRADE BODIES / REGULATORY

43. ARE WE A MEMBER OF ANY INSURANCE INDUSTRY TRADE ASSOCIATION OR PROFESSIONAL ORGANIZATION?

Yes: VNAB (Vereniging voor Assurantie Beurs Makelaars)
www.vnab.nl

44. ARE WE IN COMPLIANCE WITH THE RELEVANT JURISDICTIONS' DATA PRIVACY REGULATION?

Yes.

45. DOES DUA HAS UP TO DATE WRITTEN POLICIES/PROCEDURES OUTLINING ITS SYSTEMS AND CONTROLS FOR FINANCIAL CRIME MITIGATION COVERING?

Yes.

1. Financial Crime Compliance Manual (including AML, International Sanctions, Anti Bribery and Corruption);
2. Fraud Protocol;
3. Sanction Regulations Procedures Protocol;
4. Conduct Risk Policy.



DECLARATION

I confirm that to the best of my knowledge and belief the information provided in this document and any attachments hereto is correct and that I have taken reasonable steps to ensure that this is the case.

A handwritten signature in black ink, appearing to read 'A.J.E. Cornel', written in a cursive style.

A.J.E. Cornel
Managing Director