

■ ■ ■ DUPI
■ ■ ■ UNDERWRITING
■ ■ ■ AGENCIES AMSTERDAM

CORPORATE INFORMATION PACK



DUAA

AMSTERDAM

DUPI Underwriting Agencies Amsterdam B.V. ("DUAA") is a Dutch Managing General Agent (MGA) with underwriting authority of Sompo International, one of the largest (re) insurance groups in the Japanese domestic market. Sompo International amongst others specializes in numerous Property, Casualty, Marine and Energy Insurances.

DUAA is a subdivision of DUPI MGA Invest B.V., which is part of DUPI GROUP B.V. The Group's headquarters are in Rotterdam. DUPI Group B.V. also has offices in Amsterdam, Copenhagen and Paris.

DUAA is often asked to complete "Know Your Customer" forms and tender documents requesting information about the company.

This Corporate Information Pack contains standard company information DUAA is prepared to release. It includes information about our staff, management, business and finance.

It is imperative that personal data is not shared with anyone outside DUAA without the written consent of the person(s) concerned.

TABLE OF CONTENTS

Corporate	4
Professional Indemnity Cover	6
Finance	7
Administrative Systems	8
Trade Bodies / Other Regulatory	9

C O R P O R A T E

1. FULL LEGAL NAME

DUPI Underwriting Agencies Amsterdam B.V.

2. HAS THE STATUTORY NAME CHANGED IN THE PAST THREE YEARS?

Yes. From Canopius B.V. to DUPI Underwriting Agencies Amsterdam B.V. per 21-12-2020.

3. REGISTERED AND TRADING ADDRESS

Bright Offices Building A
La Guardiaweg 36
1043 DJ Amsterdam
The Netherlands

4. REGISTRATION NUMBER

Chamber of Commerce registration number 30136556

5. DUNS NUMBER

415857366

5. WEBSITE ADDRESS

dupi.com/dupi-amsterdam

6. TELEPHONE NUMBER

+31 20 582 99 10

7. EMAIL CONTACT

amsterdam-admin@dupi.com

**8. STATUS OF COMPANY/
PLACE OF INCORPORATION**

Besloten Vennootschap
Private Limited Liability Company
The Netherlands

9. OWNERSHIP

DUAA is owned 100% by DUPI MGA Invest B.V. (which is 100% owned by DUPI Group B.V.)

10. SHAREHOLDINGS

Available on request via DUPI Group Compliance Officer

11. DATE OF INCORPORATION DUAA

2nd March 1992

12. STATUTORY DIRECTOR OF DUAA

Directors	Jimmy Guman
Title	Managing Director

13. CAREER RESUME & CVS FOR SENIOR STAFF/DIRECTORS

DUAA does not release CV's or other personal details of directors or employees. Please check our website for an individual's title and contact details.

14. REGULATORY STATUS

Authorised and regulated by the Dutch Financial Supervisory Authority in respect of activities as a MGA. Autoriteit Financiële Markten [AFM]: www.afm.nl

15. AFM REFERENCE NUMBER

Registered under number 12014002.

16. HANDLING PREMIUMS AND COMMISSIONS

DUAA complies with AFM procedures for handling premiums and commissions.

17. DUAA CAN PASSPORT INTO THE FOLLOWING COUNTRIES UNDER EU FREEDOM OF SERVICES:

Austria	Denmark	Hungaria	Lithuania	Romania
Belgium	Estonia	Iceland	Luxembourg	Slovenia
Bulgaria	Finland	Ireland	Malta	Slovakia
Croatia	France	Italy	Norway	Spain
Cyprus	Germany	Latvia	Poland	Sweden
Czech Republic	Greece	Liechtenstein	Portugal	

18. LLOYD'S COVERHOLDER PIN

112696 DEP

19. NUMBER OF STAFF EMPLOYED AT JANUARY 2022

14

20. REGISTRATION WITH THE DUTCH FINANCIAL SERVICES COMPLAINTS INSTITUTE

KiFiD (Klachtinstituut Financiële Dienstverlening) at www.kifid.nl
Registration number 300.009629

PROFESSIONAL INDEMNITY COVER

21. PRIMARY INSURER'S NAME

Liberty Mutual Insurance: 50%

Allianz: 50%

22. INSURANCE INCEPTION & EXPIRE DATE

Inception: 01-01-2022

Expiry: 31-12-2022

23. AGGREGATE LIMIT OF COVER

€ 10.000.000

24. LIMIT OF COVER FOR EACH AND EVERY CLAIM

€ 10.000.000

25. DEDUCTIBLE FOR EACH AND EVERY CLAIM

€ 100.000

26. DOES OUR PI COVER INCLUDE DISHONESTY OF EMPLOYEES?

Yes.

FINANCE

- 27. NAME OF DUAA'S BANK**
ABN AMRO Bank N.V.
- 28. BANK ADDRESS**
Gustav Mahlerlaan 10, 1082PP Amsterdam, The Netherlands
- 29. STATUS OF ACCOUNTS**
Insurer and client monies are held in Non Statutory Client Bank Accounts.
- 30. ARE BANK ACCOUNTS INDEPENDENTLY AUDITED**
Yes, on an annual basis.
- 31. BANK ACCOUNTS**
Account name: DUPI Underwriting Agencies Amsterdam B.V.
IBAN:
EURO: NL98ABNA0492664900
SWIFT: ABNANL2A
- 32. SUBSIDIARIES**
DUAA has no subsidiaries that are not audited separately that transact business directly.
- 33. HANDLING CLIENT MONEY**
All client monies are held in the Non Statutory Client Bank Accounts of DUAA in order to secure that client monies and corporate monies are not co-mingled.
- 34. AUDITORS DETAILS**
RSM Accountants and Tax Consultants
Jacques Dutilhweg 471a, 3065 GL Rotterdam, The Netherlands
Tel: +31 10 455 4100
- 35. VAT REGISTRATION NUMBER**
NL 800670346 (B02)
- 36. AGED DEBT PROCEDURES**
DUAA has procedures and systems in place to monitor aged and outstanding debt. As per DUPI Group policy and procedures.
- 37. AGED DEBT PROCEDURE**
Our current aged debt procedure implies daily review; daily account reconciliation.

ADMINISTRATIVE SYSTEMS

38. IT SYSTEMS

1. UNIT4 Multivers (financial administration);
2. Navigator (custom made policy- and claims administration system developed by DUPI Groups' inhouse software company FrameStory Software B.V.);
3. Citrix (in combination with Windows Terminal Server).

39. BUSINESS CONTINUITY

DUAA's system backups consist of (business) daily backups. Each backup is stored on a central backup system, and subsequently replicated to an off-site location. All DUAA staff have secured remote access to the office systems.



TRADE BODIES / REGULATORY

40. MEMBERSHIP OF ANY INSURANCE INDUSTRY TRADE ASSOCIATION OR PROFESSIONAL ORGANIZATION
VNAB (Vereniging voor Assurantie Beurs Makelaars)
www.vnab.nl

DUAA has a joint membership with DUPI Underwriting Agencies B.V. (Rotterdam Branch).

41. ARE WE IN COMPLIANCE WITH THE RELEVANT JURISDICTIONS' DATA PRIVACY REGULATION?

Yes.

42. DOES DUAA HAS UP TO DATE WRITTEN POLICIES/PROCEDURES OUTLINING ITS SYSTEMS AND CONTROLS FOR FINANCIAL CRIME MITIGATION COVERING?

Yes.

1. Financial Crime Compliance Manual (including AML, International Sanctions, Anti Bribery and Corruption);
2. Fraud Protocol;
3. Sanction Regulations Procedures Protocol;
4. Conduct Risk Policy.



DECLARATION

I confirm that to the best of my knowledge and belief the information provided in this document and any attachments hereto is correct and that I have taken reasonable steps to ensure that this is the case.

Jimmy Guman
Managing Director